PTO/SB/01A (08-03).

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## **DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	COMPOSITIONS AND METHODS FOR THE MODULATION OF SPHINGOLIPID METABOLISM AND/OR SIGNALING				
As the below named inventor(s), I/we declare that:					
This declaration is directed to:					
The attach	ed application, or				
Application	No. 10/622,011, filed on July 16, 2003				
as ame	nded on (if applicable);		•		
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;					
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;					
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.					
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.					
FULL NAME OF INVEN	ITOR(S)				
Inventor one: Julie	D. Saba	Citizen of:	United States		
Signature:	ulie Salsa	Date:	11-04-03		
Inventor two:		Citizen of:			
Signature:		Date:			
Inventor three:		Citizen of:			
Signature:		Date:	<del></del> .		
Inventor four:		Citizen of:			
Signature:	· : '	Date:			
Additional inventors or a legal representative are being named on additional form(s) attached hereto.					

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No. 200116.405C1

## EXPRESS MAIL NO. EV336620256US

PTO/SB/81 (06-03)
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ELECTION AND POWER OF
ATTORNEY and
CORRESPONDENCE ADDRESS
INDICATION FORM

Application Number	10/622,011
Filing Date	July 16, 2003
First Named Inventor	Julie D. Saba
Title	COMPOSITIONS AND METHODS FOR THE MODULATION OF SPHINGOLIPID METABOLISM AND/OR SIGNALING
Art Unit	
Examiner Name	
Attorney Docket Number	200116.405C1

I hereby a	ppoint:			· · · · · · · · · · · · · · · · · · ·			
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I am the:					•		
Applicant/Inventor.							
Assignee of record of the entire interest. See 37 CFR 3.71.							
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  X As assignee of record of the entire interest I/we hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the							
exclusion of the inventor(s).							
SIGNATURE of Applicant or Assignee of Record							
Name Antonie H. Reado							
Signature							
Date 10/24/03							
NOTE: Signatures of all the inv ntors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.							
*Total of forms are submitted.							

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXPRESS MAIL NO. EV336620256US PTO/SB/96 (08-03)

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**STATEMENT UNDER 37 CFR 3.73(b)** 

Applicant/Pate	nt Owner:	Julie D. Sa	ba	-	,	·	
Application No	./Patent No.:_	10/622,011		_	Filed/Issue	Date:J	luly 16, 2003
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The undersign	ed (whose title	e is supplied	below) is a	autho	rized to act	on behalf	of the assignee.
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This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## **ASSIGNMENT**

WHEREAS, I, Julie D. Saba (hereinafter referred to as ASSIGNOR), having a mailing address of 6630 Banning Drive, Oakland, California 94611, am the sole inventor of an invention entitled "COMPOSITIONS AND METHODS FOR THE MODULATION OF SPHINGOLIPID METABOLISM AND/OR SIGNALING," as described and claimed in the specification for which an application for United States letters patent was filed on July 16, 2003, and assigned Application No. 10/622,011; this application is a continuation-in-part of U.S. Application No. 10/348,052, filed January 17, 2003 which is a continuation-in-part of 10/053,510, filed January 17, 2002, and is a non-provisional claiming the benefit under 35 USC 119(e) of 60/349,582 filed January 17, 2002.

WHEREAS, Children's Hospital & Research Institute at Oakland (hereinafter referred to as ASSIGNEE), a non-profit organization of the State of California having a place of business at 5700 Martin Luther King Jr. Way, Oakland, California 94609-1673, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, transfer and sale not been made. ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNOR agrees to execute all instruments and documents required for the making and prosecution of applications for United States and foreign

patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

11-04-63	Julie Salsa
Date	Julie D Saba
	LINDA L TYWONIAK
State of <u>CALIFORNIA</u> )	Commission # 1304168 Notary Public - California Alameda County
County of Alameda )	My Comm. Explres May 11, 2005

I certify that I know or have satisfactory evidence that Julie D. Saba is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated	11-4-03	*
Signature of Notary Public	Linda	& Tywoniae
Printed Name	LINDA	L Tywoniat
My appointmen	nt expires	5-11-05

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